

**SHILPA STOCK BROKER PVT. LTD.**  
**SCHEDULE OF CHARGES (CDSL) ( wef 1<sup>st</sup> January 2023 )**

| Charge Head  | Charges   |   |
|--|---|---|
|  | Non –EKYC Account   | EKYC Account  |
| Franking Charges   | Rs.135/- (Account with Off Line DDPI/ POA)  | Rs.145/- (Account with On Line DDPI/ POA)   |
|  | NIL (Account without POA)   | NIL (Account without POA)   |
| AMC  | 1) Rs.750/- Life Time<br>2) Rs. 229/- Per Year<br>3) For Corporate:-Rs.1000/- Yearly                                | 1) Rs.750/- LifeTime<br>2) Rs. 229/- PerYear  |
| Transaction Charges Buy (Market) Transfers                                       | NIL   | NIL   |
| Transaction Charges Market Sell  | Rs.15/-Per Transaction  | Rs.15/-Per Transaction  |
| KRA Modification Charges   | KRA Upload/ Download: Rs. 35/-  | KRA Upload/ Download: Rs. 35/-  |
| Transaction Charges Off-Market Outward   | 0.05% Transaction Value OR Rs.35/- (Whichever is Higher ) Per ISIN/ Transaction for Off-Market and Inter-Depository | 0.05% Transaction Value OR Rs.35/- (Whichever is Higher ) Per ISIN/ Transaction for Off-Market and Inter-Depository |
| Transfers Failed Instruction   | Rs.20/-   | Rs.20/-   |
| Margin / MTF Pledge Creation   | Rs.20/- Per ISIN / Transaction  | Rs.20/- Per ISIN / Transaction  |
| Margin Re-Pledge Creation  | NIL   | NIL   |
| Margin / MTF Un-Pledge Closure   | Rs.20/- Per ISIN / Transaction  | Rs.20/- Per ISIN / Transaction  |
| Margin Un-Re-Pledge Closure  | NIL   | NIL   |
| Margin Pledge Revoke / Release   | Rs.20/- Per ISIN / Transaction  | Rs.20/- Per ISIN / Transaction  |
| Pledge Creation / Closure  | 0.01% Of Transaction Value Or Rs.50/- (Whichever Is Higher) Per ISIN / Transaction                                  | 0.01% Of Transaction Value Or Rs.50/- (Whichever Is Higher) Per ISIN / Transaction                                  |
| Pledge Creation Confirmation<br>Pledge Closure Confirmation<br>Pledge Invocation | NIL   | NIL   |
| Demat Charges  | Rs 5/- Per ISIN Per Certificate )<br>Minimum Rs 50/-)<br>+ Rs.100 Courier Charges.                                  | Rs.5/- Per ISIN Per Certificate<br>(Minimum Rs 50/-.)<br>+ Rs.100 Courier Charges.                                  |
| Demat Rejection Charges  | NIL   | NIL   |
| Remat Charges  | Rs.20/- Per 100 Securities + Rs.100 Courier Charges.  | Rs.20/- Per 100 Securities + Rs.100 Courier Charges.  |
| Delivery Instruction Slip Book   | First DIS Book (10 Leaves): NIL .<br>Additional DIS Booklet (10 Leaves):<br>Rs.75/-                                 | First DIS Book (10 Leaves): NIL .<br>Additional DIS Booklet (10 Leaves): Rs. 75/-                                   |
| Ad-hoc Statement   | Rs. 100/- Per Statement   | Rs. 100/- Per Statement   |
| Account Modification Charges   | Modification in CML: Rs.30/- Request  | Modification in CML: Rs.30/- Request  |

**NOTE:**

- In case of delays in the payment of charges, the demat account will be frozen for all operation till such time all dues are cleared subject to 30 days notice from the payment due date.
- All instruction for transfer must be received in physical from the client upto 4.00p.m. on "T+1" for pay in of securities late instruction would be accepted at the account holder's sole risk and responsibility.
- Charges are subject to revision at that company's sole discretion and as per revisions in CDSL charges and are subject to 30 days notice from the date of applicability.
- All payment to be made in the name of "Shilpa Stock Broker Pvt. Ltd." via a cheque/DD payable at the local branch where the account opened. Out station Cheques will not be accepted.
- Out of pocket expenses incurred on the dispatch of securities for Dematerialisation and communication charges incurred on outstation call/faxes made specifically on request of the clients will be charged on actual.
- Any advance payment over and above the normal amount due can also be made. Any such higher amount paid than the minimum amount payable at the time of account opening shall be adjusted the bills raised from to time
- You can Debit my Demat charges to my Trading Account Code \_\_\_\_\_ maintain with you.
- Goods and Services Tax (GST) as per the prevailing rates will be charged in addition to these charges.

**CHARGES ON NON-PAYMENT OF DUES:**

Penalty and interest charges:

A Penalty of 1% per month on the value outstanding on the bill after one month from the due date.

Non –Payment of bill after 30 days:

The depository services for from the due date of the Payment the account will be temporarily withdrawn.

Signature: \_\_\_\_\_

Sole/First Holder

Second Holder

Third Holder